PTO/SB/21 (01-03) Approved for use through 04/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE duction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Application Number 10/691,770 TRANSMITTAL Filing Date October 23, 2003 **FORM** First Named Inventor Flohr et al. Art Unit 1626 (to be used for all correspondence after initial filing) **Examiner Name** Ramsuer, Robert W. Attorney Docket Number 21031 US1 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication Fee Transmittal Form Drawing(s) to Group Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to Group Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a **Proprietary Information** After Final **Provisional Application** Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): Request for Refund Express Abandonment Request CD. Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) References A1, B3, and C12. Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Kimberly J. Prior Individual Signature Date January 23, 2004 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class

mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313 on this date January 23, 2004

Typed or printed Kimberly J. Prior Signature January 23, 2004 Date

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



## PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application

Inventor(s): Flohr et al.

Group: 1626

Serial No. 10/691,770, filed October 23, 2003

Examiner: Ramsuer, Robert, W.

(Ref. No. 21031 US1)

For: SUBSTITUTED BENZOTHIAZOLE AMIDE DERIVATIVES

## INFORMATION DISCLOSURE STATEMENT

Nutley, New Jersey 07110 January 23, 2004

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicants respectfully direct the Examiner's attention to the documents listed on enclosed Form PTO-1449.

Documents A1, B3, and C12, listed on the enclosed PTO-1449 are enclosed. Documents B1, B2 and C1 to C11 listed on Form PTO-1449 are not being submitted because each document has been made of record in the parent application, Serial No. 10/308,338, filed December 3, 2002, now pending. Document A2 is not being submitted as it is a US patent.

Consideration of all the documents cited on Form PTO-1449 is requested.

Serial No. 10/691,770 Filed: October 23, 2003

Since the Information Disclosure Statement is submitted before the mailing of the first Office Action on the merits or within three months of the filing date for the above application, no fee is due.

Respectfully submitted,

Attorney for Applicant(s)

Kimberly J. Prior (Reg. No. 41,483)

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Telefax: (973) 235-2363

Attachments

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Substitute for form 1449A/PTO					Complete if Known			
STATEMENT BY APPLICANT  JAN 2 6 2005 several sheets if necessary)					Application Number 10/691,770			
					Filing Date	October 23, 2003		
					First Name Inventor	Flohr,	Flohr, et al.	
141 7 6	100 sev	dral sheets	s if necessary)		Group Art Unit	1626		
					Examiner Name	Ramsuer, Robert W.		
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	<u> </u>	U.S.	Patent Document	AIL	ENT DOCUMENTS			
Examiner Initials'	Cite No.1	Number Kind Code² (if known)			Name of Patentee or Applicant of Cited Document		Date of Publication of Cited document MM-DD-YYYY	
	A1	2002/0	2002/0045615 A1		Alanine, et al.		04/18/2002	
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	No.	Office <sup>3</sup>	Office <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				Cited Document MM-DD-YYYY	
	B1	WO 0	01/57008	,	BASF AG		08/09/2001	
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B3 EP 0 113		113 219		Johnsen & Jorgensen Ltd		07/11/1984		
Examiner Initials'	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published  Doubton et al. Piconggnia & Mad Cham Vol 6, pp. 610,641 (1008)						T <sup>2</sup>
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	C12	Mac	<u> </u>	Chen	n. Soc., Perkin Trans. 1	, 1996,	Vol. 20, pgs.	
		<u> </u>						
Date Considered:		Exami	iner Signature:					

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*Unique citation designation number. See attached Kinds of U.S. Patent Documents. Include copy of the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation or abstract is attached.